

JCT525 U.S. PTO  
02/11/02

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PTO/SB/05 (03-01-2002)  
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02/11/02  
PTO/SB/05 (03-01-2002)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2.  Application claims small entity status.  
See 37 CFR 1.27.3.  Specification [Total Pages 22]

(unless an amendment set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed Sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 6 ]

5. Oath or Declaration [ Total Pages 3 ]

- a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional) with Box 18 completed

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

prior application No. \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Indicate Customer No. or Bar Code Label here)			or <input checked="" type="checkbox"/> Correspondence address below		
Name	Marshall & Melhorn, LLC					
Address	Phillip S. Oberlin					
City	Four SeaGate - 8th Floor		State	Ohio	Zip Code	43604
Country	USA		Telephone	419-249-7149	Fax	419-249-7151

Name (Print/Type)	Phillip S. Oberlin	Registration No. (Attorney/Agent)	19,066
Signature			
	Date 2/11/02		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 410.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Masafumi Okuma et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	1-15682

## METHOD OF PAYMENT (check all that apply)

- Check    Credit card    Money Order    Other    None
- Deposit Account:

13-1816

Marshall &amp; Melhorn, LLC

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below due w/ this transmittal
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	370.
106 330	206 160	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$ 370.00)</b>	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
13	-20** = 0	0 X 0 = 0	= 0	
2	-3*** = 0	0 X 0 = 0	= 0	

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>		<b>(\$ 0)</b>	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity/Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 66		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
130 130	130 130		Non-English specification	
2,520	147 2,520		For filing a request for ex parte reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 400	216 200		Extension for reply within second month	
117 920	217 460		Extension for reply within third month	
118 1,440	218 720		Extension for reply within fourth month	
128 1,960	228 980		Extension for reply within fifth month	
119 320	219 160		Notice of Appeal	
120 320	220 160		Filing a brief in support of an appeal	
121 280	221 140		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,280	241 640		Petition to revive - unintentional	
142 1,280	242 640		Utility issue fee (or reissue)	
143 460	243 230		Design issue fee	
144 620	244 310		Plant issue fee	
122 130	222 130		Petitions to the Commissioner	
123 50	223 50		Processing fee under 37 CFR 1.17(q)	
126 180	226 180		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(e))	
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	
Other fee (specify) _____				

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

## Complete if applicable

Name (Print/Type)	Phillip S. Oberlin	Registration No. (Attorney/Agent)	19,066	Telephone	419-249-7149
Signature	Date 2/11/02				

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UTILITY PATENT APPLICATION

APPLICANT: MASAFUMI OKUMA ET AL.  
ASSIGNEE: KABUSHIKI KAISHA ACT ONE  
TITLE: PLASTIC OPTICAL FIBER FERRULE AND  
METHOD FOR MAKING THE SAME  
PRIORITY: Japanese Application No. 2001-324891  
ATTORNEYS: Marshall & Melhorn, LLC  
ATTORNEY DOCKET: 1-15682

"Express Mail" Mailing Label Number EV018220761US  
Date of Deposit February 11, 2002 I hereby certify that this  
paper or fee is being deposited with the United States  
Postal Service "Express Mail Post Office to Addressee"  
service under 37 CFR 1.10 on the date indicated above and  
is addressed to the U.S. Patent & Trademark Office,  
Washington, D.C. 20231

Michelle L. Fais

(signature)

Michelle L. Fais

(name)